TRANSMITTAL FORM

FORM

(to be used for all correspondence after initial filing)

Application Number	10/679,255
Filing Date	October 3, 2003
First Named Inventor	Michael M. Mann et al.
Art Unit	
Examiner Name	

Total Number of Pages in This Submission	32	Attorney Docket Number	65568/ENCMP

ENCLOSURES   *(check all that apply)*

☒ Fee Transmittal Form
   ☒ Fee Attached
☐ Amendment / Reply
   ☐ After Final
   ☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☒ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert a Provisional Application
☒ Power of Attorney, Revocation Change of Correspondence
☐ Terminal Disclaimer
☐ Request for Refund
☒ CD, Number of CD(s) ___2___

Remarks

☐ After Allowance communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group **(Appeal Notice, Brief, Reply Brief)**
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) *(please identify below)*:

1. CD Transmittal Letter dated April 9, 2004.

2, Recordation Form Cover Sheet with 4 page Assignment.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm *or* Individual name	Gilbert G. Kovelman Fulwider Patton Lee & Utecht, LLP
Signature	
Date	April 26, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the

Typed or printed name	Gilbert G. Kovelman		
Signature		Date	April 26, 2004

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT ($) **$698.00**

Complete if Known	
Application Number	10/679,255
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Art Unit	
Attorney Docket No.	65568/ENCMP

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: **06-2425**

Deposit Account Name: **Fulwider Patton Lee & Utecht, LL**

The Director is authorized to: *(check all that apply)*

☐ Charge fee(s) indicated below   ☒ Credit any overpayments

☒ Charge any additonal fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee ($)	Fee Code	Fee ($)		
1001	770	2001	385	Utility filing fee	385.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) ($) **$385.00**

2. EXTRA CLAIM FEES FOR UTILITY AND

	Extra Claims	Fee from below	Fee Paid
Total Claims	27 -20** = 7	X 9.00 =	63.00
Independent Claims	- 3** = 0	X =	0.00
Multiple Dependent		145.00 =	145.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee ($)	Fee Code	Fee ($)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) **$208.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee ($)	Fee Code	Fee ($)		
1051	130	2051	65	Surcharge - late filing fee or oath	65.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for *ex parte* reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
				Other fee (specify) _____	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($) **$105.00**

SUBMITTED BY

Name (Print/Type)	Gilbert G. Kovelman	Registration No. (Attorney/Agent)	19,552	Telephone	310/824-5555
Signature				Date	April 26, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

TRANSMITTAL LETTER
Metrics
April 9, 2004
Encompass Knowledge Systems, Inc.

This transmittal letter accompanies two copies of a compact disc containing the EnCompass® program, patent application, and other pertinent information. Both CD's are identical.

The following hardware requirements must be met in order to successfully run the software contained on the CD:
- IBM PC or PC compatible computer
- MS Windows NT 4.0, Windows 2000, or Windows XP operating system
- MS Internet Explorer 5.0 or higher
- Pentium CPU
- Minimum 32 MB RAM
- Minimum 100 MB available disk space, for program and local database
- Display adapter and monitor capable of 256 colors at resolution
  1024 X 768

Compact Disk Machine Format: IBM-PC
Operating System Compatibility: MS Windows NT 4.0, Windows 2000, or Windows XP operating system with MS Internet Explorer 5.0 or higher.

File Location	File Name	Size in Bytes	Date of Creation	Notes
<root>	_INST321.EX_	297,989 bytes	October 2, 1998	
<root>	_ISDel.exe	27,648 bytes	October 2, 1998	
<root>	_Setup.dll	34,816 bytes	September 29, 1998	
<root>	_sys1	181,565 bytes	September 6, 2002	
<root>	_sys1.hdr	3,905 bytes	September 6, 2002	
<root>	_user1	22,973 bytes	September 6, 2002	
<root>	_user1.hdr	4,510 bytes	September 6, 2002	
<root>	DATA.TAG	126 bytes	September 6, 2002	
<root>	data1.cab	28,565,188 bytes	September 6, 2002	
<root>	data1.hdr	11,040 bytes	September 6, 2002	
<root>	DCA-readme.txt	3,054 bytes	August 12, 2002	Read this file prior to installing

				the product.
\<root\>	Encdb_5.log	2,162,688 bytes	July 18, 2002	Database log installed with database.
\<root\>	EncLocal.db	86,253,568 bytes	July 18, 2002	Database installed for program.
\<root\>	lang.dat	4,679 bytes	September 18, 1998	
\<root\>	layout.bin	650 bytes	September 6, 2002	
\<root\>	os.dat	450 bytes	July 27, 1998	
\<root\>	setup.bmp	165,400 bytes	July 9, 2002	
\<root\>	Setup.exe	71,680 bytes	October 2, 1998	Double-click this icon to begin the setup process if it does not begin automatically or if you need to restart the process for some reason.
\<root\>	Setup.ini	90 bytes	September 6, 2002	
\<root\>	setup.ins	63,374 bytes	July 20, 2002	
\<root\>	setup.lid	49 bytes	September 6, 2002	
\<root\>	vssver.scc	64 bytes	July 9, 2002	
\<root\>\DCA Source	aaf.C	11,020 bytes	August 9, 2002	All files in the DCA folder may be viewed using MS Word or a text viewer. These are source code files for the data collection algorithm.
\<root\>\DCA Source	aaf.H	2,843 bytes	August 9, 2002	Source code file for the data collection algorithm.
\<root\>\DCA Source	aafcls.H	2,985 bytes	August 9, 2002	Source code file for the data collection

				algorithm.
<root>\DCA Source	aafmac.H	2,222 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafrd1.C	26,358 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafrd2.C	26,435 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	aafsql.C	5,328 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANR.C	145,875 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANR.H	6,368 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANRCLS.H	10,219 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ANRMAC.H	41,842 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dca.C	64,650 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dca.H	2,822 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dcabd1.C	9,500 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA	dcabd2.C	9,524 bytes	August 9, 2002	Source code file

Source				for the data collection algorithm.
<root>\DCA Source	dcacls.H	3,924 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dcamac.H	1,878 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	dcasql.C	7,769 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	die.C	6,916 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	die.H	1,963 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	Diecls.H	1,807 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	diemac.H	1,885 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	DIR.C	102,326 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	DIR.H	4,683 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	DIRCLS.H	4,644 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	DIRMAC.H	28,085 bytes	August 9, 2002	Source code file for the data collection

				algorithm.
<root>\DCA Source	ISR.C	22,396 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ISR.H	2,083 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ISRCLS.H	2,314 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	ISRMAC.H	4,719 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	TBF.H	2,856 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	TBFCLS.H	12,222 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	TBFMAC.H	2,599 bytes	August 9, 2002	Source code file for the data collection algorithm.
<root>\DCA Source	tbfmetric.C	31,417 bytes	August 9, 2002	Source code file for the data collection algorithm.